POLICY ON COVID-19 ALTERNATIVE WORK ARRANGEMENTS

Phase Out of Policy
The COVID-19 Alternative Work Arrangements Policy will be phased out after June 20 with an end-date between July 31 and September 3. Schools and units will determine the date on which the AWA will be sunset, based on their operational needs. Between June 20 and the policy end date, schools and units should provide reasonable flexibility to individuals, but ensure that business needs can be met. The qualifying criteria for an AWA will narrow after June 20, in alignment with improving public health conditions. Employees who require the AWA beyond June 20 should speak with their managers about their needs. Existing AWA arrangements will not automatically extend beyond June 20.

Purpose
This policy establishes guidelines, protocols, and standards for providing flexible and alternative work arrangements upon request for faculty and staff who are asked to return to in-person campus work activities, or who support ongoing academic research, continuously or intermittently between July 1, 2020 and June 20, 2021. It is intended to mitigate the risks of spread of COVID-19 through upholding the University’s commitment to providing maximum flexibility for remote work and other alternative work arrangements for those individuals affected directly or indirectly by COVID-19. This policy applies to all active status (not currently on leave or furlough) University faculty and staff, regardless of benefits-eligibility status. This policy does not apply to students; graduate students with on-campus responsibilities should consult with their school and/or The Graduate School for flexible or alternative arrangements.

The policy recognizes that the adverse impacts of COVID-19 on the ability to return to campus may manifest in ways other than a confirmed positive diagnosis, such as:

- illness of minor and/or adult dependents;
- inability to access reasonably safe public transportation options;
- inability to secure child or elder care.

As stated elsewhere, the disclosure of any positive diagnosis or required self-isolation should be handled through Risk Management.

All schools and units are required to create and implement a plan according to the Return to Campus guidelines which identify essential roles and services, including scheduling and density protocols. These plans will be developed in consultation with Central Administration. To the extent that a school- or unit-level return-to-campus plan adequately covers an employee’s need for scheduling or flexibility, the provisions of this policy need not apply. This policy is intended to address situations in which an
employee requires additional flexibility. In such circumstances, this policy will govern the process for requesting, reviewing, and approving or denying these requests.

This policy aligns with the Core Responsibilities outlined to the campus community.

This policy does not cover requests for accommodations for disabilities or pregnancy, nor religious accommodations. For any such accommodations refer to existing policies and procedures, such as section 4.4 of the Staff Handbook or page 23 of the Faculty Handbook, and to the Reasonable Accommodation Policy administered by the Office of Equity.

**Audience**

This policy applies to all active status (not currently on leave or furlough) University faculty and staff, regardless of benefits-eligibility status, who are asked to return to work physically on our campuses on a continuous or intermittent basis or are planning to do so. The University expects all supervisory employees, including managers, Principal Investigators, Vice Presidents, and Dean’s offices, to familiarize themselves with, abide by, and support the terms of this policy.

**Policy Guidelines**

It is expected that schools and units will provide flexible scheduling and remote working arrangements to meet required reduced density in the workplace as directed by the Return to Campus plan. These scheduling and remote work arrangements are not covered by this policy and should not follow the workflow or documentation process described herein.

All schools and units should provide the maximum reasonable flexibility to individuals who request individualized remote or alternative work arrangements (which include scheduling and density arrangements described in a school’s or unit’s Return to Campus plan, as noted above) due to the negative impact of COVID-19. Such flexible work options could include, but are not limited to:

- Allowing any faculty or staff member to work remotely
- Allowing a faculty member to:
  - take a scheduled leave early;
  - team teach for Fall Quarter;
  - reschedule teaching responsibilities to a later quarter;
- Allowing temporary job-sharing arrangements for staff
- Allowing non-exempt employees to fulfill their scheduled hours through a non-standard work schedule
- Reducing service obligations for faculty during the 2020-21 academic year

The items listed above are not intended to be an exhaustive list of which flexible work options should be made available to a school’s or unit’s faculty and/or staff. However, in the absence of a compelling reason to the contrary, the requests for flexible work arrangements including the items offered above should be strongly considered, provided that the essential work of the school or unit can still continue.

Staff and faculty with situational or health conditions which prevent them from returning to campus in person to perform onsite work activities should be provided the opportunity to develop proposals for reasonable alternative work arrangements in coordination and cooperation with their supervisory unit. Staff and faculty with any of the health conditions identified by the CDC to increase the risk of severe illness from COVID-19 may of their own volition, but should not be expected to, return to in-person work activities during the period covered by this policy.
Supervisors should offer flexibility in work assignments and work schedules so that individuals with flexible and alternative work arrangement requests are able to continue to work remotely if possible. Unit HR Business Partners and the Office of the Provost are available to consult with unit and department leaders about what flexible work options are available or may be tailored to the particular situation.

In developing alternative work arrangements, supervisors should not default to a redistribution of duties, if necessary, to individuals with lesser authority in the unit. For example, teaching responsibilities of a tenured faculty member should not be redistributed to non-tenured faculty members, to postdoctoral trainees, or to graduate students, unless that is deemed, after considering availability of skills and resources, the best course of action. All arrangements should be fashioned with care and intention to ensure that work responsibilities are assigned equitably.

Denials of flexible work or alternative work arrangement requests for COVID-19 related impacts should be considered as the exception during the effective period of this policy. The University’s Policy on Non-Retaliation applies to requests under this policy. This policy does not provide job protection, and faculty and staff remain eligible for position elimination and other employment actions for reasons unrelated to this policy. A denial of a request may be appealed using the established processes and grounds for appeal under the terms of the Staff Handbook and the Faculty Handbook.

Policy Implementation Protocol
The protocol for implementing this policy follows the below steps in sequential order. It is expected that any denial of flexible or alternative work arrangement requests for COVID-19 related impacts should be considered as the exception during the effective period of this policy.

Step 1
Staff and faculty seeking accommodation based on their own medical, disability or other protected basis should contact the Office of Equity and follow the Reasonable Accommodation Policy to request an accommodation.

It is expected that schools and units will provide flexible scheduling to meet required reduced density in the workplace as directed by the Return to Campus effort. These scheduling and remote work arrangements are not covered by this policy and should not follow this policy’s workflow or documentation process.

Staff and faculty seeking flexible or alternative work arrangements for other reasons related to COVID-19 are covered by this policy and should complete the COVID-19 Alternative Work Arrangement request form. Supporting documentation may be required in connection with such requests. Staff requests will be routed to the Office of Human Resources, and faculty requests will be routed to the Office of the Provost for initial intake and review. The request will be shared with the individual’s school or unit leadership for consultation and decision.

All requests will be considered in the context of arrangements made for similar requests across other University units or departments and in compliance with the University’s commitment to providing maximum flexibility for remote or alternative work arrangements for those individuals negatively affected by COVID-19, while balancing a unit’s or department’s business needs.

Step 2
If a flexible or alternative work arrangement is granted, the requestor’s supervisor will inform the requestor. It is the responsibility of the supervisor to:
a) provide an agreed-upon flexible work arrangement for as long as is reasonable given the demands of the role and the needs of the individual and unit
b) document said arrangement and share it with the Office of Human Resources and, in the case of faculty, with both the Office of the Provost and the Office of Human Resources (see Policy Contacts below).

If, upon the consultation outlined in Step 1, the unit or department determines that the requested flexible or alternative work arrangement cannot be granted, the unit or school leadership should inform the Office of Human Resources in the case of staff or the Office of the Provost in the case of faculty of their intent to deny the request. The Office of Human Resources or the Office of the Provost will review the request and consider factors such as the nature of the work performed by the requestor and the record of decisions on comparable requests across the University, as well as the operational needs of the unit or department. The Office of Human Resources or Office of the Provost will consult with the requestor’s unit or department leadership to ensure comparable requests are handled equitably across the University. If appropriate and feasible, options such as alternative work arrangements, enhanced technology support for a unit, modified schedules, the COVID-19 Temporary Leave of Absence Program, reduced work hours, and/or shared work responsibilities will be discussed as possible solutions to meet both the unit’s and requestor’s needs. If a flexible or alternative work arrangement can be offered, then the requestor’s unit or department leader will document and inform all relevant parties as outlined in the above paragraph.

Step 3
If, under the consultation steps outlined under Steps 1 and 2, the unit leadership and the Office of Human Resources or the Office of the Provost determine that a flexible or alternative work arrangement cannot be offered, then the unit or department will direct the requestor to other existing policies or processes that may be applied to their situation.

Policies that are available include, but are not limited to, existing University policies such as Family Medical Leave Act (FMLA) provisions or an Unpaid Personal Leave.

In addition, in response to the unusual and anticipated temporary accommodation needs due to COVID-19, the University will provide a new COVID-19 Temporary Leave of Absence Program, which will apply to circumstances not covered under FMLA. This is an opt-in program offering the employee the temporary option of taking a leave of absence. This program has the following benefits and limitations during the leave of absence:

1. The University will pay the employer portion of the employee’s medical premiums and basic life insurance premiums.
2. The employee will not receive any portion of their salary or other benefits, including the accrual of sick or vacation time.
3. The employee’s position is not guaranteed should the University determine that the position is no longer required (unless prohibited by law or contract).
4. The program is available only for active employees who have positions that it has been determined cannot be performed remotely or accommodated through other flexible or alternative work arrangements.

Consequences of Violating this Policy
It is expected that all schools and units will follow the guidelines and requirements of this policy to encourage the consistent and fair application of flexible work arrangements for faculty and staff across the University. For this reason, all supervisory employees, including managers, Principal Investigators, Vice Presidents, and Dean’s offices, are required to follow the reporting and flexible work arrangement requirements, including the mandatory reporting of any denied requests. The consequences of failure to comply will follow the guidelines in the applicable Staff Handbook or Faculty Handbook.
Related Information

The University’s Return to Campus Policy.

The University’s Policy on Non-Retaliation applies to requests under this policy.

The University’s Reasonable Accommodation Policy.

Contacts
Office of Human Resources: HRBP@northwestern.edu

The Office of the Provost: facultyrecords@northwestern.edu

History
Policy Effective Date: July 1, 2020
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